



Evaluate the Yield Rate of Multi-Process Products Using the Six Sigma DMAIC Method

Sung-Chang Lin and Shui-Chuan Chen

EasyChair preprints are intended for rapid dissemination of research results and are integrated with the rest of EasyChair.

July 18, 2024

Evaluate the yield rate of multi-process products using the Six Sigma DMAIC method

Sung-Chang Lin

National Changhua University of
Education
Department of Industrial Education
and Technology
Changhua, Taiwan
dragon998829@gmail.com

Shui-Chuan Chen

National Chin-Yi University
of Technology
Industrial Engineering &
Management
Taichung, Taiwan
Scchen@ncut.edu.tw

Abstract - With the rising consumer awareness, the emphasis on quality management and risk control has significantly increased. Without an effective quality assurance system, manufacturing costs can rise due to yield issues, and inconsistent quality may lead to market backlash. This study applies the Six Sigma DMAIC methodology to wheelchair accessory parts, focusing on the critical stages of Define, Measure, Analyze, Improve, and Control.

Initially, during the sampling stage, the production process is planned, incorporating inspection points into the key processes using a production flowchart. Failure Modes and Effects Analysis (FMEA) is used to identify potential failures, assess their severity, frequency, and detectability to implement preventive measures. Simultaneously, the control plan outlines quality characteristics from mechanical equipment and inspection methods to process parameters, evaluation methods, inspection ratios, frequencies, and analysis methods, and proposes improvement recommendations when anomalies are detected.

Finally, the process capability index is used to evaluate the quality stability of the product in continuous production, aiming to control defects within three standard deviations, reduce complaints, transportation, and technical maintenance carbon emissions, promote sustainable development, reduce production costs, and improve customer loyalty.

Keywords: Six Sigma 、 Process Capability Index 、 Quality Function Deployment 、 FMEA

I. INTRODUCTION

With the increasing standards of global market supply chains, this study aims to utilize the Six Sigma DMAIC methodology to review potential defect risks in multi-process product manufacturing. The potential failures of each process are evaluated, and the severity of undetected risks is analyzed and classified for planning. Corresponding preventive measures are implemented to ensure source management, enhance product quality in multi-process products, and reduce production costs.

Based on the definition of the Six Sigma DMAIC methodology in [1], the following is a list of tools used in this study:

TABLE I. DMAIC OBJECTIVES AND QUALITY TOOLS CORRESPONDENCE TABLE

	Stage objectives	Quality tools
Definition	Define the problem, customer requirements, and project objectives.	QFD
Measure mente	Understand the current process performance and collect relevant data.	Process Flow Diagram
Analysis	Identify and validate the relationships between causes and effects.	FMEA
Improve	Enhance process performance by implementing solutions	Control Plan
Control	Sustain improvements and ensure process stability.	Process Capability Index ; Cpk

II. Literature Review

Six Sigma is an optimization strategy used to enhance a company's profitability by avoiding waste, scrap, and losses . [2]

Quality Function Deployment (QFD) translates customer requirements into design specifications during the product's conceptual design stage, with the House of Quality being a key component . [3][6]

FMEA is primarily used for product reliability and safety analysis.[4] Control Plans document the systems used to control and minimize product and process variation . [8]

Process Capability Indices (PCA) assess a process's ability to meet specified limits set by customers, engineers, or designers .[5]

III. EXPERIMENTS & APPLICATIONS

3.1 Definition

According to [1], the key point of the Definition phase in the DMAIC framework is "Define the problem, customer requirements, and project objectives". This study integrates Quality Function Deployment (QFD) with Product Engineering Diagrams to achieve the objectives of the Definition phase. The research uses wheelchair components as a case study, and upon execution, establishes project goals and foundational framework as follows:

TABLE II. QUALITY HOUSE TABLE

The Quality House Table consists of several sections:

- Technical requirements:** A list of 10 requirements for the brake drum, such as "Design Feature - Brake Drum Tuning Dimensions" and "Design Feature - Product Appearance".
- Customer quality needs:** A list of 8 needs, including "Brake drum rigidity", "Aluminum alloy composite material", and "Product reliability".
- Technical goals:** A list of 8 goals, such as "Brake drum rigidity", "Aluminum alloy composite material", and "Product reliability".
- Correlation Matrix:** A grid where rows represent technical requirements and columns represent customer quality needs. Symbols (circles and triangles) indicate the strength of correlation between them.
- Legend:**
 - △ weak positive correlation = 1
 - medium positive correlation = 3
 - Strong positive correlation = 3

3.2 Measurement

According to [1], the key point of the Measurement phase in the DMAIC framework is to "Understand the current process performance and collect relevant data." This study uses a Process Flow Diagram (PFD) to assess the current production process of wheelchair components.

Based on the product characteristics defined in the House of Quality and Engineering Diagrams from the Define phase, the production stages are integrated into four processing blocks in the PFD:

Receiving and Shipping

Outsourcing

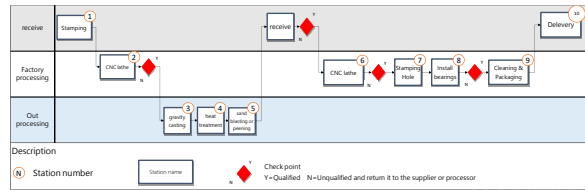
In-house Production Processing

Quality Issue Handling

Due to the multiple production processes required for wheelchair components defined in the Definition phase, the PFD includes plans for outsourcing and in-house production processing. To minimize quality variations, quality issue handling methods and inspection points are incorporated into the PFD. These inspection points are marked with red diamonds, with "Y" indicating pass and "N" indicating fail. Details and specifications for these inspections will be discussed in the Improve phase.

To integrate the FMEA tool from the Analyze phase and the Control Plan from the Design phase, each production process is defined with a workstation number, marked with an orange circle in the upper right corner of the workstation name for easy identification.

FIGURE I. PROCESS FLOW DIAGRAM



3.3 Analysis

According to [1], the key point of the Analyze phase in the DMAIC methodology is to "Identify and validate the relationships between causes and effects." This phase will use the Failure Modes and Effects Analysis (FMEA) method to assess the severity, occurrence frequency, and detection probability of potential defects.

TABLE III. FMEA ASSESSMENT FORM

Serial number	Work process	Failure mode effects	Potential impacts on customer	Potential causes of defect/deficiency	Severity				Control plan	Control plan	Control plan	Control plan	Control plan	Control plan	Control plan	
					Severity	Occurrence	Detection	Control								
1	Brake drum stamping forming	Using wrong tool	Spaced up area and burr	Using the wrong tool for processing	When receiving materials, the operator is required to provide material information and a label card will be made to indicate the material usage after receiving the materials.	2	1	1	1	1	1	1	1	1	1	1
2	Brake drum CNC turning	Wrong part size	Product shape, burr, and surface	Too small clamping and too large	Set checkpoints in the process	2	1	1	1	1	1	1	1	1	1	1
3	gravity casting	Hot body too hot	Reduced strength of parts	The air flow is not completely exhausted during casting	Set up inspection station	3	1	1	1	1	1	1	1	1	1	1
4	gravity casting	High temperature	Reduced strength of parts	The operator operated the mold and lock 4 not before cooling and setting	Set up standard operating procedure	4	1	1	1	1	1	1	1	1	1	1
5	heat treatment	Incorrect heat treatment	Reduced strength of parts	Heat treatment time and temperature are not correct	Require suppliers to provide heat treatment data and receiving materials	2	1	1	1	1	1	1	1	1	1	1
6	machining	Worn tool	Part appearance defects	Tooling and tool management	Increase and fix sandblasting machine operation time	2	1	1	1	1	1	1	1	1	1	1
7	Hub CNC turning	Wrong part size	The function of the part is reduced or the appearance is abnormal	Tool tip and chip management	Tool tip management	3	1	1	1	1	1	1	1	1	1	1
8	Hub drum hole tapping	Wrong part size	The function of the part is reduced or the appearance is abnormal	Operator negligence	Set up sampling inspection station	3	1	1	1	1	1	1	1	1	1	1
9	Press-in bearing	Wrong part size	The function of the part is reduced or the appearance is abnormal	Operator negligence	Set checkpoints in the process	2	1	1	1	1	1	1	1	1	1	1
10	clean	Residual metal particles	The parts are dirty and have sharp edges	Operator negligence	Control standard work manual	3	1	1	1	1	1	1	1	1	1	1
11	Package	Wrong packaging quantity	Customer received insufficient product	Operator negligence	Control packaging manual	4	1	1	1	1	1	1	1	1	1	1

After FMEA evaluation, two significant issues were identified:

1. During CNC lathe clamping, deformation easily occurs, preventing proper alignment with the mold during gravity casting. This results in molten aluminum alloy leaking into the workpiece, potentially leading to brake malfunction.

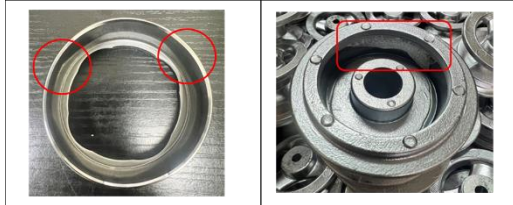


FIGURE II .SIGNIFICANT ISSUES 1

2. During the stamping process, if leftover materials are not effectively cleared by personnel, it may result in workpiece damage.

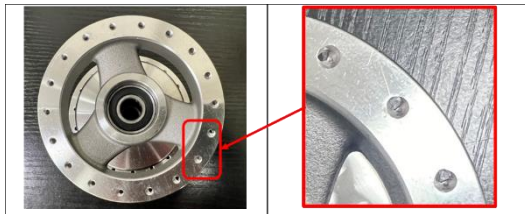


FIGURE III .SIGNIFICANT ISSUES 2

The improvement method is as follows:

1. CNC lathe

solution

Redesign and manufacture the CNC lathe chuck to increase the brake drum clamping area.

Before improvement	Improved
Before improvement	Improved

FIGURE IV . CNC LATHE IMPROVEMENT

2. Stamping

The original punching mold was manually placed, manually closed, and manually jetted to remove scraps. It was changed to manual placement, pneumatic closing of the mold, and automatic jetting to remove scraps, reducing defects caused by human operations and increasing production efficiency.

Before improvement	Improved

FIGURE V . STAMPING IMPROVEMENT

After improvement, the reassessment of the two improvement points for FMEA is as follows:

TABLE IV. IMPROVED FMEA ASSESSMENT FORM

NO.	Risk process	Failure mode	Failure effects	Failure causes	Failure severity	Original FMEA			Improved FMEA			Control plan	Responsible department	Improvement status	Improvement date	Improvement person	Improvement cost	Improvement benefit	
						R	S	D	R	S	D								
1	Brake drum clamping	Using wrong tool	Speed of wear and tear	Using the wrong tool for processing	When incorrectly installed, the mold will cause the workpiece to be damaged and the mold will be damaged. The mold will be damaged and the workpiece will be damaged.	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
2	Brake drum flange turning	Workpiece not	Product cost	Product cost	The mold clamping area is not aligned with the workpiece.	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
3	gravity casting	Not body the	Reduced strength of	The workpiece is not aligned with the mold.	Set up inspection station	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
4	gravity casting	High gasification	Reduced strength of	The gasification of the mold and workpiece is not aligned.	Set up standard operating procedure	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
5	Aluminum alloy heat treatment	Heat treatment requirements	Reduced strength of	Heat treatment requirements	Heat treatment requirements	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
6	unloading	Control	Heat treatment requirements	Control	Control	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
7	Use CNC turning	Workpiece not	The function of the part is not achieved	The function of the part is not achieved	Tool life management	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
8	Use chuck turning	Workpiece not	The function of the part is not achieved	The function of the part is not achieved	Operator negligence	2	3	3	2	3	3	3	3	Production Department	Design and build holiday valves.	4	2	7	10
9	Use lathe turning	Workpiece not	The function of the part is not achieved	The function of the part is not achieved	Operator negligence	2	3	3	2	3	3	3	3	Production Department	Design and build holiday valves.	4	2	7	10
10	Use	Material characteristics	The parts are dirty	The parts are dirty	Control standard each manual	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10
11	Package	Control standard	Control standard	Control standard	Control standard	2	3	3	2	3	3	3	3	Management	Design and build holiday valves.	1	2	7	10

3.4 Improve

According to [1], the key point of the Improve phase in the DMAIC methodology is to "Enhance process performance by implementing solutions." This study will use a Control Plan to finalize the production processes, machinery, locations, quality characteristics, specification tolerances, measurement conditions, analysis methods, and improvement measures. This will optimize customer requirements and finalize production conditions, inspection standards, and limitations.

After evaluation, the list is as follows:

TABLE V. CONTROL PLAN TABLE

Item number	Process name	Tool or fixture used for machines and equipment	Product properties	Process parameters		Standardization and testing		Analytical method	Improvement measures after abnormality is discovered	
				Quality characteristics	Process parameters	Measurement conditions	Measurement conditions			
1	Iron ring stamping/turning	Direct punch press	Material: carbon steel	Make sure you use the right material	Factory material certificate	N/A	per order	Testing report	Return to supplier	
2	Brake drum CNC turning	CNC lathe	Inner diameter size height size	Make sure the size is correct	ISO 2875-2018 & ISO 2875-2018-1	Vernier caliper	5%	per order	Measuring tools	Stop production/separate abnormal production/notify management/lead improvement plan
3	Grinding	Grinding center related machines	Grinding defects	Make sure there are no grinding marks	Blank casting mold production	Visually	100%	per order	Visual inspection	Return to supplier
4	Aluminum alloy heat treatment	Heat treatment stove	Aluminum alloy hardness	Check the material hardness is correct	HBS 42-55	Hardness tester	5%	per order	Hardness measurement	Return to supplier for report
5	Carbide turning	Sliding table lathe	Remove carbonized layer	Check the carbonized layer is removed	#100-150 steel balls	Visually	5%	per order	Visual inspection	Return to supplier for report
6	Hub CNC turning	CNC lathe	Outer diameter precision Inner diameter precision Height dimensions	Make sure the size is correct	ISO 2875-2018 & ISO 2875-2018-1 ISO 2875-2018 & ISO 2875-2018-1 ISO 2875-2018 & ISO 2875-2018-1 ISO 2875-2018 & ISO 2875-2018-1 ISO 2875-2018 & ISO 2875-2018-1 ISO 2875-2018 & ISO 2875-2018-1	Vernier caliper Height gauge Three-point probe Diameter micrometer	5%	per order	Measuring tools	Stop production/separate abnormal production/notify management/lead improvement plan
7	Hub drum hole stamping	Direct punch press	Inner diameter size	Make sure the size is correct	ISO 2875-2018	Vernier caliper	5%	per order	Measuring tools	Return to supplier for report
8	Push in bearing	Hydraulic press	Confirm bearing specifications	Make sure the bearing is correct	ISO 2875-2018	Visual inspection	100%	per order	Visual inspection	Stop production/separate abnormal production/notify management/lead improvement plan
9	Clean	Carbon	Parts quality control	Check the parts are clean and free of oil	ISO 2875-2018	Visual inspection	100%	per order	Visual inspection	Stop production/separate abnormal production/notify management/lead improvement plan
10	Package	Carton	Quantity control	Check the quantity is correct	Electronic scale	Electronic scale	100%	per order	Visual inspection	Stop production/separate abnormal production/notify management/lead improvement plan

3.5 Control

According to [1], the key point of the Improve phase in the DMAIC methodology is to "Sustain improvements and ensure process stability." In this study, we will implement the Process Capability Index (Cpk) to achieve this. The Process Capability Index is used to measure the manufacturing capability of a product and to quantify process yield, evaluating whether it meets the requirements. The calculation of the Process Capability Index is based on the mean and standard deviation of the product characteristics of the process, and it is compared to the upper and lower design specification limits.

The process capability index will be assessed following the methodology outlined by Chen et al[7]. in their paper on "Capability performance analysis for processes with multiple characteristics using accuracy and precision." This method will be applied to evaluate the process capability index of products with multiple quality characteristics. Methods as below:

$$C_{p(u,v)}(\delta, \gamma) = \frac{1-u|\delta|}{3\sqrt{\gamma^2+v\delta^2}} \quad (1)$$

δ represents the index of accuracy, measuring the centrality of the process.

γ is defined as the index of precision, measuring the dispersion of the process.

$$u = 0 \text{ or } 1$$

$$v = 0 \text{ or } 1$$

$$Cp(0,0) (\mu, \sigma) = Cp$$

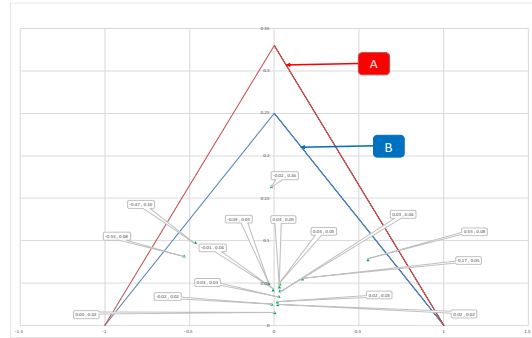
$$Cp(1,0) (\mu, \sigma) = Cpk$$

$$Cp(0,1) (\mu, \sigma) = Cpm$$

$$Cp(1,1) (\mu, \sigma) = Cpmk$$

After analysis, the list is as follows:

TABLE VI. PROCESS CAPABILITY INDEX EVALUATION FORM



When the value falls within Zone A, it indicates $1.33 < Cpk < 1$; when it falls within Zone B, it indicates $Cpk > 1.33$. When $Cpk > 1.33$, the coordinates (1, 0.25) and (-1, -0.25) define the accepted region. When $Cpk = 1$, the coordinates (1, 0.33) and (-1, -0.33) define the accepted region.

IV. CONCLUSIONS

This study aims to investigate the production part approval process by integrating methods such as Six Sigma, Quality Function Deployment (QFD), manufacturing flowcharts, control plans, Process Capability Index (Cpk), and Failure Modes and Effects Analysis (FMEA). The goal is to improve manufacturing processes, enhance product quality, and reduce risks. By utilizing various risk planning and quality tools, the study hopes to avoid learning from accidents or failures and to prevent costly losses. Additionally, the application of these quality tools is expected to increase customer satisfaction and enhance product competitiveness.

References

- [1] De Feo, Joseph A.; Barnard, William. JURAN Institute's Six Sigma Breakthrough and Beyond - Quality Performance Breakthrough Methods. Tata McGraw-Hill Publishing Company Limited. 2005. ISBN 0-07-059881-9
- [2] Altuğ, M. (2023). Application of six sigma through deep learning in the production of fasteners. International Journal of Lean Six Sigma, 14(7), 1376-1402
- [3] Fazeli, H. R., & Peng, Q. (2023). Integrated approaches of BWM-QFD and FUCOM-QFD for improving weighting solution of design matrix. Journal of Intelligent Manufacturing, 34(3), 1003-1020.
- [4] Wu, Z., Liu, W., & Nie, W. (2021). Literature review and prospect of the development and application of FMEA in manufacturing

- industry. *The International Journal of Advanced Manufacturing Technology*, 112, 1409-1436.
- [5] Yalçın, S., & Kaya, İ. (2022, November). Two-dimensional uncertainty analysis for Cp and Cpk process capability indices. In *2022 International Conference on Innovation and Intelligence for Informatics, Computing, and Technologies (3ICT)* (pp. 419-423). IEEE.
- [6] Hsieh, C. H., & Kuular, C. (2022, August). The 50 Anniversary of Quality Function Deployment Research. In *2022 Portland International Conference on Management of Engineering and Technology (PICMET)* (pp. 1-7). IEEE.
- [7] Chang, T. C., Wang, K. J., & Chen, K. S. (2014). Capability performance analysis for processes with multiple characteristics using accuracy and precision. *Proceedings of the Institution of Mechanical Engineers, Part B: Journal of Engineering Manufacture*, 228(5), 766-776.
- [8] Belu, N., Al Ali, A. R., & Khassawneh, N. (2013). Application of control plan-a PPAP tool in automotive industry production. *Calitatea*, 14(136), 68.